

*DAC S* #3  
**Petition For Revival Of An Application For Patent Abandoned Unintentionally  
Under 37 CFR 1.137(b) (Small Entity)**

Docket No.  
POPE#6(CIP)(CIP)

In Re Application Of: Edward J. Pope and Kenneth M. Kratsch

Serial No.  
09/782,945

Filing Date

February 13, 2001

Examiner

Group Art Unit  
1711

Invention: Application of Photocurable Pre-Ceramic Polymers

**ASSISTANT COMMISSIONER FOR PATENTS**

Attention: Office of Petitions

Box DAC

Washington, D.C. 20231

**NOTE:** If information or assistance is needed in completing this form, please contact Petitions Information at (703) 305-9282.

The above-identified application became abandoned for failure to file a timely and proper response to a notice or action by the Patent and Trademark Office. The date of abandonment is the day after the expiration date of the period set for reply in the Office notice or action plus any extension of time actually obtained.

**APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION**

**NOTE:** A grantable petition requires the following items:

- (1) Petition fee;
- (2) Reply and/or issue fee;
- (3) Terminal disclaimer with disclaimer fee--required for all utility and plant applications filed before June 8, 1995; and for all design applications; and

1.  A proposed reply to the above-identified Office Action:

is enclosed.  was filed on \_\_\_\_\_

The proposed reply is in the form of: \_\_\_\_\_

2.  The issue fee:

is enclosed.  was paid on \_\_\_\_\_

3.  A small entity declaration:

is enclosed.  was filed on February 13, 2001

4.  The abandoned application was a:

design application.  utility application.  plant application.

5.  A terminal disclaimer (and fee) disclaiming a period equivalent to the period of abandonment is enclosed.

6.  Since this utility/plant application was filed on or after June 8, 1995, no terminal disclaimer is required.

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Invention: Application of Photocurable Pre-Ceramic Polymers

**Calculation and Payment of Fees**

Enclosed are the following fees:

7.  Petition fee under 37 CFR 1.17(m) in the amount of: \$640.00
8.  Fee for amendment in the amount of: \_\_\_\_\_
9.  Fee for extension of time to reply to Office Action in the amount of: \_\_\_\_\_
10.  Issue fee in the amount of: \_\_\_\_\_
11.  Continuing application filing fee in the amount of: \_\_\_\_\_
12.  Terminal disclaimer fee in the amount of: \_\_\_\_\_
13.  \_\_\_\_\_ \_\_\_\_\_

Total fees enclosed: \$640.00

The fee of \$640 is to be paid as follows:

- A check in the amount of the fee is enclosed.
- The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. \_\_\_\_\_
- A duplicate copy of this sheet is enclosed.

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Invention: Application of Photocurable Pre-Ceramic Polymers

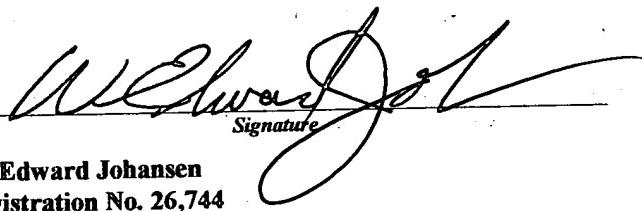
Statement

The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional.

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JUL 15 2002

OFFICE OF PETITIONS



W. Edward Johansen  
Registration No. 26,744

Dated: July 3, 2002

CC:

I certify that this document and fee is being deposited on  
July 3, 2002 with the U.S. Postal Service as first  
class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.



Signature of Person Mailing Correspondence

W. Edward J. Hansen

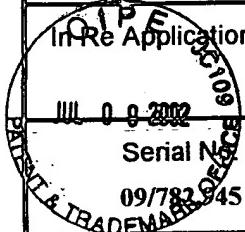
Typed or Printed Name of Person Mailing Correspondence

# 6

**TRANSMITTAL LETTER  
(General - Patent Pending)**

Docket No.  
**POPE#6(CIP)(CIP)**

In Re Application Of: **Edward J. A. Pope and Kenneth M. Kratsch**



Serial No. 09/782,945	Filing Date February 13, 2001	Examiner	Group Art Unit 1711
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Title: **Application of Photocurable Pre-Ceramic Polymers**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

Transmitted herewith is:

**Notice to File Missing Parts of Nonprovisional Application  
Declaration and Power of Attorney for Patent Application**

in the above identified application.

- No additional fee is required.
- A check in the amount of \$435.00 is attached.
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. as described below. A duplicate copy of this sheet is enclosed.
  - Charge the amount of
  - Credit any overpayment.
  - Charge any additional fee required.

Dated: **July 3, 2002**

**W. Edward Johansen**  
Registration No. 26,744

CC:

I certify that this document and fee is being deposited on July 3, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Signature of Person Mailing Correspondence*

**W. Edward Johansen**

*Typed or Printed Name of Person Mailing Correspondence*

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Applicant(s): Edward J. A. Pope and Kenneth M. Kratsch

Docket No.

POPE#6(CIP)(CIP)

Serial No.  
09/782,945Filing Date  
February 13, 2001

Examiner

Group Art Unit  
1711

Invention: Application of Photocurable Pre-Ceramic Polymers

I hereby certify that this preliminary amendment

(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Assistant Commissioner for Patents, Washington, D.C. 20231 on

July 3, 2002

(Date)

W. Edward Johansen

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

Note: Each paper must have its own certificate of mailing.

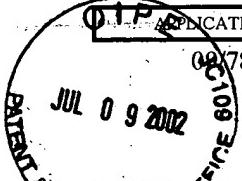
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/782,945	02/13/2001	Edward J. A. Pope	POPE#6(CIP)(CIP)



W. Edward Johansen  
 1661 San Vicente Boulevard  
 Los Angeles, CA 90049

CONFIRMATION NO. 2332

## FORMALITIES LETTER



\*OC000000006034756\*

Date Mailed: 05/03/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1324.
  - \$324 for 36 total claims over 20.
  - \$1000 for 25 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1744.**

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JUL 15 2002

## OFFICE OF PETITIONS

07/15/2002 ANONDAT1 00000075 09782945

01 FC:201  
02 FC:205370.00 OP  
65.00 OP

The following item(s) appear to have been omitted from the application:

- Figure(s) 4 described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must be filed within TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was

filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must be filed within TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b)**. In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

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*Jahlo*  
A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE